FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Christopher M Todoroff</u>						2. Issuer Name and Ticker or Trading Symbol HUMANA INC [ HUM ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
(Last) (First) (Middle) HUMANA INC. 500 WEST MAIN STREET						3. Date of Earliest Transaction (Month/Day/Year) 01/31/2019										X Officer (give title Other (specify below)  Chief Legal Officer					
(Street)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)					
LOUISVILLE KY 40202														X Form filed by One Reporting Person  Form filed by More than One Reporting Person							
(City) (State) (Zip)																					
		Tab	le I - Nor	-Deriv	ative	Se	curiti	es A	cqui	red, C	Disp	osed	of, o	r Ben	eficial	ly Own	ed				
Date				Date	ransaction e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Yea		e,   T	Code (Ins		on Dispose		rities Acquired (A) o ed Of (D) (Instr. 3, 4 a		Secu Bene Owne	icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
									ď	Code	v	Amount		(A) or (D)	Price		ted action(s) 3 and 4)			(Instr. 4)	
Humana Common																9,277(10)			D		
		Т	able II - I						•	•	•	sed of	•		-	Owne	k				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deeme Execution if any (Month/Day	Date,	4. Transactior Code (Instr 8)				6. Date Exercis Expiration Dat (Month/Day/Ye		ate	ite		7. Title and Amou of Securities Underlying Derivative Securit (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)		re es ally ig d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exerc	cisable		piration te	Title	O N O	umber						
Options <sup>(6)</sup>	\$167.805									(1)	02	/18/2023	Hun Com		6,935		6,935	5	D		
Options <sup>(2)</sup>	\$217.415									(2)	03	/08/2024	Hun Com		5,498		15,49	8	D		
Options <sup>(3)</sup>	\$239.12									(3)	06	/28/2024	Hun Com		7,366		7,366	5	D		
Options <sup>(4)</sup>	\$268.47									(4)	02	/19/2025	Hun Com		5,221		6,221	L	D		
Restricted Stock Units <sup>(5)</sup>	(5)									(7)		(7)	Hum Com		1,189		1,189	)	D		
Restricted Stock Units <sup>(5)</sup>	(5)									(8)		(8)	Hun Com		1,620		1,620	)	D		
Restricted Stock	(5)									(9)		(9)	Hun Com		963		963		D		

## **Explanation of Responses:**

- 1. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/15, vesting in three increments from 02/24/16 to 02/24/18.
- 2. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 03/08/2017, vesting in three annual increments.
- 3. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 06/28/2017, 100% of the award is vesting on 06/28/20
- 4. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/19/18, vesting in three increments from 02/19/19 to 02/19/21.
- 5. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- 6. Reporting Person disclaims Beneficial Ownership of one-half of each option or restricted stock unit held as of December 2016 pursuant to Divorce Decree, exempt under Rule 16a-12.
- $7. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 03/08/2017, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/17, \ 12/15/18, \ and \ 12/15/19.$
- 8. Restricted stock units granted to reporting person on 06/28/17, 100% of the award is vesting on 06/28/20.
- $9. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 02/19/18, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/18, \ 12/15/19, \ and \ 12/15/20.$
- 10. On March 5, 2018 and December 27, 2018 reporting person transferred 1,448 and 411 shares, respectively, of direct ownership pursuant to Divorce Decree, exempt under Rule 16a-12.

## Remarks:

Units<sup>(5)</sup>

Christopher M. Todoroff

01/31/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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