SEC Form 4

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FORM 4

1. Name and Address of Reporting Person

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

2. Issuer Name **and** Ticker or Trading Symbol

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

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5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Check this box if no longer subject to Section 16. Form 4 or Form 5 Iblications may continue. See	STATEMENT OF CHANGES IN BENEFICIAL OWN
Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Kane Brian A					HI	HUMANA INC [HUM]									(Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer				
(Last) (First) (Middle) HUMANA INC.				_	3. Date of Earliest Transaction (Month/Day/Year) 12/15/2020														
(Street)	Street) LOUISVILLE KY 40202			_ 4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									 5. Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(City)	(S	tate)	(Zip)																
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transacti Date (Month/Day)				ction	ion 2A. Deemed Execution Date,			3. 4. Securities Acquired (A) Transaction Code (Instr. 8) 4. Securities Acquired (A)				d (A) or	5. Am 5) Secu Bene Owne	ount of ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price		action(s) 3 and 4)			(Instr. 4)	
Humana	Common			12/15/				М		932	A	\$0		18,464		D			
Humana	Common			12/15/	0			F		497	D	\$390.	485	17,967		D			
Humana	Common			12/15/	2020				М		879	A	\$0		18,846		D		
Humana Common			12/15/2020				F		468	D	\$390.485		18,378		D				
Humana	Humana Common			12/15/2020				М		831	A	\$ <mark>0</mark>		.9,209		D			
Humana	Common			12/15/	2020				F		443	D	\$ <mark>390</mark> .	485	.8,766	5 D			
		Т	able II								posed of converti			ly Owned	i				
1. Title of Derivative Security (Instr. 3)	e of 2. 3. Transaction 3A. Deemed ative Conversion Date Execution Date, rity or Exercise (Month/Day/Year) if any			4. Transa	5. Number			6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun of Securities Underlying Derivative Securit (Instr. 3 and 4)		t 8. Price o Derivativ Security		e Ownersh 5 Form: Ily Direct (D or Indirec 1 (I) (Instr.		Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa	ıble	Expiration Date	Title	Amoun or Numbe of Shares	1					
Options ⁽¹⁾	\$268.47								(1)		02/19/2025	Humana Common	12,04	1	12,04	1	D		
Options ⁽²⁾	\$307.965								(2)		02/25/2026	Humana Common	11,674	4	11,67	4	D		
Options ⁽³⁾	\$350.7875							\square	(3)		02/24/2027	Humana Common	12,53	5	12,53	5	D		
Restricted Stock Units ⁽⁴⁾	(4)	12/15/2020			М			932	(6)		(6)	Humana Common	932	\$0	0		D		
Restricted Stock Units ⁽⁴⁾	(4)	12/15/2020			М			879	(7)		(7)	Humana Common	879	\$0	880		D		

Explanation of Responses:

(5)

12/15/2020

1. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/19/18, vesting in three increments from 02/19/19 to 02/19/21.

(8)

2. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/25/19, vesting in three increments from 02/25/20 to 02/25/22.

3. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/2020, vesting in three annual increments from 2/24/21 to 2/24/23.

831

4. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

5. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

6. Restricted stock units granted to reporting person on 02/19/18, 33% of the award is vesting on 12/15/18, 12/15/19, and 12/15/20.

7. Restricted stock units granted to reporting person on 02/25/19, 33% of the award is vesting on 12/15/19, 12/15/20, and 12/15/21.

8. Restricted stock units granted to reporting person on 02/24/2020, 33% of the award is vesting on 12/15/20, 12/15/21, and 12/15/22.

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Remarks:

Restricted

Stock

Units⁽⁵⁾



Humana

Common

831

\$<mark>0</mark>

(8)

1,663

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.