Humana Common

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

wasnington,	D.C.	20549	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPRO	OVAL
OMB Number:	3235-0287
Estimated average burd	en
hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

12/15/2021

12/15/2021

12/15/2021

				or Section 30(h) of the	Investm	ent C	ompany Act of	f 1940						
1. Name and Address of Reporting Person* Fleming William Kevin				. Issuer Name and Tic			Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
						-			Director		Owner			
(Last) HUMANA INC			. Date of Earliest Trans 2/15/2021	saction ((Month	n/Day/Year)	X	Officer (give title Other (spec below) Seg Pres, Pharmacy Sol & CCAO						
500 WEST MAI	IN STREET	4	. If Amendment, Date	of Origin	nal File	ed (Month/Dav	6. Inc	6. Individual or Joint/Group Filing (Check Applicable						
(Street) LOUISVILLE			Ü		· ·	Line)								
(City)	(State)	(Zip)												
		Table I - No	on-Derivati	ve Securities Ac	quire	d, Di	sposed of	, or Be	neficially	/ Owned				
Date		2. Transaction Date (Month/Day/Ye	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of	Acquired (D) (Instr	(A) or . 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature o Indirect Beneficial Ownership (Instr. 4)			
					Code	v	Amount	(A) or (D)	Price			Reported Transaction(s) (Instr. 3 and 4)		
Humana Commo	on		12/15/202	1	М		542	A	\$0	1,355	D			
Humana Common 12/15/20			12/15/202	1	F		249	D	\$459.85	1,106	D			
Humana Common 12/15/2				1	М		428	A	\$0	1,534	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

F

M

F

D

Α

D

\$459.85

\$0

\$459.85

1,337

1,726

1,547

4,049

118

D

D

D

I

I

See

See

Footnote⁽²⁾

Footnote⁽¹⁾

197

389

179

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options ⁽³⁾	\$307.965							(3)	02/25/2026	Humana Common	7,184		7,184	D	
Options ⁽⁴⁾	\$350.7875							(4)	02/24/2027	Humana Common	6,446		6,446	D	
Options ⁽⁵⁾	\$376.61							(5)	02/22/2028	Humana Common	4,807		4,807	D	
Restricted Stock Units ⁽⁶⁾	(6)	12/15/2021		М			542	(8)	(8)	Humana Common	542	\$0	0	D	
Restricted Stock Units ⁽⁷⁾	(7)	12/15/2021		М			428	(9)	(9)	Humana Common	428	\$0	428	D	
Restricted Stock Units ⁽⁷⁾	(7)	12/15/2021		М			389	(10)	(10)	Humana Common	389	\$0	779	D	
Phantom Stock Units	(11)							(11)	(11)	Humana Common	24		24	I	See Footnote ⁽¹¹⁾

Explanation of Responses:

- 1. Shares held for the benefit of reporting person as of November 30, 2021 under the Humana Retirement Savings Plan including routine payroll deductions, quarterly dividend allocation, and a routine disposition of shares to fund an administrative fee assessment under a Tax-Conditioned Plan, exempt under Rule 16b-3(c).
- 2. 4,049 shares transferred into the William K. Fleming Grantor Retained Annuity Trust (est. 7/2/2020) on September 15, 2021. Reporting person is the sole trustee of the GRAT.
- 3. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Non-Qualified stock options granted to reporting person on 02/25/2019, vesting in three increments from 2/25/20 to 2/25/22.
- 4. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/2020, vesting in three annual increments

from 2/24/21 to 2/24/23.

- 5. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 2/22/2021, vesting in three annual increments from 2/22/22 to 2/22/24
- 6. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- 7. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- $8. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 2/25/19, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/19, \ 12/15/20 \ and \ 12/15/21.$
- $9. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 02/24/2020, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/20, \ 12/15/21, \ and \ 12/15/22.$
- 10. Restricted stock units granted to reporting person on 02/22/2021, 33% of the award is vesting on 12/15/21, 12/15/22, and 12/15/23.
- 11. Phantom Stock Units held for the benefit of reporting person as of November 30, 2021 based on the value of Humana common stock on a 1-for-1 basis, under the Humana Retirement Equalization Plan. The ending number of units reflects normal fluctuation due to changes in stock price.

William K. Fleming 12/17/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.