SEC Form 4	
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APP	ROVAL								
OMB Number:	3235-0287								
Estimated average burden									

0.5

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre		Person*		ssuer Name <b>and</b> Tic JMANA INC		g Symbol		tionship of Repor all applicable) Director Officer (give titl	109	o Issuer 6 Owner er (specify	
(Last) HUMANA INC 500 W. MAIN S		(Middle)		Date of Earliest Tran 15/2021	saction (Mont	h/Day/Year)		below) Chief Dig He	bel	ow)	
			4. If	Amendment, Date	of Original Fil	ed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) LOUISVILLE	KY	40202					X		One Reporting P Nore than One R		
(City)	(State)	(Zip)									
		Table I - No	on-Derivative	e Securities Ac	quired, D	isposed of, or Benef	icially	Owned			
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a 5)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

		(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(IIISU: 4)
Humana Common	12/15/2021		М		406	A	\$0	3,011	D	
Humana Common	12/15/2021		F		160	D	\$459.85	2,851	D	
Humana Common	12/15/2021		М		356	A	\$0	3,207	D	
Humana Common	12/15/2021		F		141	D	\$459.85	3,066	D	
Humana Common	12/15/2021		М		328	A	\$0	3,394	D	
Humana Common	12/15/2021		F		130	D	\$459.85	3,264	D	
Humana Common								380	I	See Footnote <sup>(10)</sup>

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned     (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of	ired r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options <sup>(1)</sup>	\$332.675							(1)	09/01/2025	Humana Common	10,155		10,155	D	
Options <sup>(2)</sup>	\$307.965							(2)	02/25/2026	Humana Common	5,388		5,388	D	
Options <sup>(3)</sup>	\$350.7875							(3)	02/24/2027	Humana Common	5,372		5,372	D	
Options <sup>(4)</sup>	\$376.61							(4)	02/22/2028	Humana Common	4,057		4,057	D	
Restricted Stock Units <sup>(5)</sup>	(5)	12/15/2021		М			406	(7)	(7)	Humana Common	406	\$0	0	D	
Restricted Stock Units <sup>(6)</sup>	(6)	12/15/2021		М			356	(8)	(8)	Humana Common	356	\$0	357	D	
Restricted Stock Units <sup>(6)</sup>	(6)	12/15/2021		М			328	(9)	(9)	Humana Common	328	\$0	658	D	

Explanation of Responses:

1. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive & Non-Qualified stock options granted to reporting person on 9/1/2018, vesting in three increments from 9/1/19 to 9/1/21.

Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive & Non-Qualified stock options granted to reporting person on 2/25/2019, vesting in three increments from 2/25/20 to 2/25/20.
Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/2020, vesting in three annual

3. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/2020, vesting in three annua increments from 2/24/21 to 2/24/23.

4. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/22/2021, vesting in three annual increments from 2/22/22 to 2/22/24.

5. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

6. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of

Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

7. Restricted stock units granted to reporting person on 2/25/2019, 33% of the award is vesting on 12/15/2019, 12/15/2020 and 12/15/2021.

8. Restricted stock units granted to reporting person on 02/24/2020, 33% of the award is vesting on 12/15/20, 12/15/21, and 12/15/22.

9. Restricted stock units granted to reporting person on 02/22/2021, 33% of the award is vesting on 12/15/21, 12/15/22, and 12/15/23.

10. Shares owned by spouse in an Individual Retirement Account.

## Heather Cox

\*\* Signature of Reporting Person

<u>12/17/2021</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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