| SEC Form 4 |  |
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| FORM | 4 |
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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden 0.5 hours per response:

| Check this box if no longer subject<br>to Section 16. Form 4 or Form 5<br>obligations may continue. See | STATEMENT OF CHANGES IN BENEFICIAL OWN   |
|---|--|
| Instruction 1(b).   | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934<br>or Section 30(h) of the Investment Company Act of 1940 |

| 1. Name and Address of Reporting Person <sup>*</sup><br>Feinberg David T |  |            |                              | HU                 | 2. Issuer Name and Ticker or Trading Symbol<br>HUMANA INC [ HUM ] |   |        |       |  |                   |   |  | (Ch                 | ieck all ap<br>X Direc                             | tor                                     | ng Pe   | 10% O\   | wner   |   |            |  |  |
|--|--|------------|------------------------------|--------------------|---|---|--------|-------|--|-------------------|---|--|---------------------|--|---|---|--|--|---|------------|--|--|
| (Last) (First) (Middle)<br>1299 OCEAN AVENUE                             |  |            |                              |                    | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/30/2023    |   |        |       |  |                   |   |  |                     | Offic  | er (give title<br>v)                    |   | Other (s<br>below)   | specify  |   |            |  |  |
| SUITE 1  |  | NUE        |                              |                    | 4. lf /   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |        |       |  |                   |   | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person |                     |  |   |   |  |  |   |            |  |  |
| (Street)<br>SANTA  |  |            |                              |                    | -   |   |        |       |  |                   |   |  | i filed by Mo       |  | 0                                       |   |  |  |   |            |  |  |
| MONIC  | A CA   | A 9        | 90401                        |                    | Ru  | le 1  | L0b5   | -1(0  | c) 1   | Transa            | acti  | on In  | dicatio             | on   |   | 1   |  |  |   |            |  |  |
| (City)   | (St  | ate) (     | Zip)                         |                    |   |   |        |       |  |                   |   |  |                     |  |   | a contract, instruction or written plan that is intended to struction 10. |  |  |   |            |  |  |
|  |  | Table      | e I - Nor                    | n-Deriv            | ative   | Sec   | uritie | es Ac | cqu  | uired, D          | Disp  | osed   | of, or E            | Bene   | eficia                                  | lly Own   | ed   |  |   |            |  |  |
| Date   |  |            | 2. Trans<br>Date<br>(Month/I | action<br>Day/Year | ) E)<br>if  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |        | ´     | 3.<br>Transaction<br>Code (Instr.<br>) 8)                      |                   | 4. Securities Acquired (<br>Disposed Of (D) (Instr. 3<br>5) |  |                     | nd Secur<br>Benef                                  | ties Fo<br>cially (D<br>d Following (I) |   | n: Direct  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |   |            |  |  |
|  |  |            |                              |                    |   |   |        |       |  | Code              | v   | Amoun  | t (A)<br>(D)        | or   | Price                                   | Trans   | ed<br>ction(s)<br>3 and 4)   |  |   | (Instr. 4) |  |  |
| Humana Common  |  |            |                              |                    |   |   |        |       |  |                   |   |  |                     | 441  |   | D   |  |  |   |            |  |  |
|  |  | Ta         |                              |                    |   |   |        |       |  | ,                 |   |  | f, or Be<br>ible se |  |   | y Owne  | d  |  |   |            |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                      | Derivative Conversion Date Execution Date,<br>Gecurity or Exercise (Month/Day/Year) if any |            |                              |                    | Transaction Number<br>Code (Instr. of                             |   |        | Ex    | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                   |   |  |                     | 8. Price o<br>Derivative<br>Security<br>(Instr. 5) |   | s<br>Ily  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |            |  |  |
|  |  |            |                              |                    | Code  | v   | (A)    | (D)   | Da<br>Ex   | ate<br>xercisable | Ex<br>Da  | piration<br>te   | Title               | or<br>Nu<br>of                                     | nount<br>Imber<br>Iares                 |   |  |  |   |            |  |  |
| Restricted<br>Stock<br>Units   | (1)  |            |                              |                    |   |   |        |       |  | (2)               |   | (2)  | Humana<br>Commor    |  | 380                                     |   | 380  |  | D |            |  |  |
| Restricted<br>Stock<br>Units   | (3)  | 06/30/2023 |                              |                    | A   |   | 37     |       |  | (3)               |   | (3)  | Humana<br>Commor    |  | 37                                      | \$445.235   | 68   |  | D |            |  |  |

Explanation of Responses:

1. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

2. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1)&(3) under Company's 2019 Amended & Restated Plan.100% of the award is vesting on 12/31/23.

3. Director's cash fee elected to be converted into stock units, deferred at the election of the Reporting Person until his resignation of services as a director at which time the stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule 16(b)-3(d)(1).

| David | l T. Fein | <u>berg</u> |  |
|-------|-----------|-------------|--|
|       |           |             |  |

07/05/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.