## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
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obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres		g Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol HUMANA INC [ HUM ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) JOSEPH C. VEI	(First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/15/2020	X Officer (give title Other (specify below)  Chief Legal Officer				
(Street) LOUISVILLE	KY	40202	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting				
(City)	(State)	(Zip)		Person				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction 4. Securities Acquired (A) or 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 1. Title of Security (Instr. 3) Date (Month/Day/Year) Execution Date Transaction Code (Instr. Securities Beneficially Form: Direct (D) or Indirect Indirect Beneficial Disposed Of (D) (Instr. 3, 4 and 5) (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Ownership Reported Transaction(s) (Instr. 4) (A) or (D) Code Amount Price (Instr. 3 and 4) 12/15/2020 Humana Common M 187 A \$0 1,483 D \$390.485 Humana Common 12/15/2020 59 D D F 1,424 Humana Common M 271 A D 12/15/2020 \$0 1,695 \$390.485 Humana Common 12/15/2020 F 107 D 1,588 D Humana Common M 1,896 D 12/15/2020 308 A \$0 Humana Common 12/15/2020 F 144 D \$390.485 1,752 D See 206 T Humana Common Footnote<sup>(1)</sup>

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		support of the control of the contro		Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Options <sup>(2)</sup>	\$307.965							(2)	02/25/2026	Humana Common	3,592		3,592	D	
Options <sup>(3)</sup>	\$350.7875							(3)	02/24/2027	Humana Common	4,656		4,656	D	
Restricted Stock Units <sup>(4)</sup>	(4)	12/15/2020		М			187	(6)	(6)	Humana Common	187	\$0	0	D	
Restricted Stock Units <sup>(4)</sup>	(4)							(7)	(7)	Humana Common	559		559	D	
Restricted Stock Units <sup>(4)</sup>	(4)	12/15/2020		М			271	(8)	(8)	Humana Common	271	\$0	271	D	
Restricted Stock Units <sup>(5)</sup>	(5)	12/15/2020		М			308	(9)	(9)	Humana Common	308	\$0	618	D	

### **Explanation of Responses:**

- 1. Shares held for the benefit of reporting person as of November 30, 2020 under the Humana Retirement Savings Plan including routine payroll deductions, quarterly dividend allocation, and a routine disposition of shares to fund an administrative fee assessment under a Tax-Conditioned Plan, exempt under Rule 16b-3(c).
- 2. Right to buy pursuant to Company's 2011 Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/25/2019, vesting in three increments from 2/25/20 to 2/25/22.
- 3. Right to buy pursuant to Company's 2019 Amended & Restated Stock Incentive Plan. Incentive and Non-Qualified stock options granted to reporting person on 02/24/2020, vesting in three annual increments from 2/24/21 to 2/24/23.
- 4. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- 5. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- $6. \ Restricted \ stock units \ granted \ to \ reporting \ person \ on \ 02/19/18, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/19, \ 12/15/19 \ and \ 12/15/20. \ Shares \ reported \ are \ what \ remain \ unvested.$

- $7. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 02/19/18, \ 100\% \ of \ the \ award \ is \ vesting \ on \ 02/19/21.$
- 8. Restricted stock units granted to reporting person on 02/25/19, 33% of the award is vesting on 12/15/19, 12/15/20 and 12/15/21.
- 9. Restricted stock units granted to reporting person on 02/24/2020, 33% of the award is vesting on 12/15/20, 12/15/21, and 12/15/22.

# Remarks:

Joseph C. Ventura

12/17/2020

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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