FORM 3

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104

Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Diamond Susan M	2. Date of Event Requiring State (Month/Day/Yea 07/15/2019	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol HUMANA INC [ HUM ]					
(Last) (First) (Middle) HUMANA INC. 500 WEST MAIN STREET	0//13/2013		Relationship of Reporting Perso (Check all applicable)     Director     Officer (give title	10% Owne	(Mor 07/1	5. If Amendment, Date of Original Filed (Month/Day/Year) 07/16/2019		
S00 WEST MAIN STREET			below) Segment President, H	below) ome Bus.		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street)					1	•	y One Reporting Person	
LOUISVILLE KY 40202						Form filed by Reporting P	y More than One erson	
(City) (State) (Zip)								
	Table I - Noi	n-Derivat	ive Securities Beneficially	y Owned				
1. Title of Security (Instr. 4)			. Amount of Securities leneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Humana Common			0	D				
Humana Common			364(2)	I Se		ee Footnote <sup>(1)</sup>		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exerc Expiration D (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Restricted Stock Units	(3)	(3)	Humana Common	1,916(5)	0	D		
Restricted Stock Units	(3)	(3)	Humana Common	1,552(6)	0	D		
Restricted Stock Units	(3)	(3)	Humana Common	844 <sup>(7)</sup>	0	D		
Restricted Stock Units	(4)	(4)	Humana Common	1,023(8)	0	D		
Restricted Stock Units	(4)	(4)	Humana Common	872 <sup>(9)</sup>	0	D		

## Explanation of Responses:

- 1. Shares held for the benefit of reporting person as of June 30, 2019 under the Humana Retirement Savings Plan including routine payroll deductions, quarterly dividend allocation, and a routine disposition of shares to fund an administrative fee assessment under a Tax-Conditioned Plan, exempt under Rule 16b-3(c).
- 2. This form is being amended due to an administrative error that incorrectly reported the amount of indirect shares owned in Humana Retirement Savings Plan (see footnote 1). Shares reported on this form are correct as of 6/30/2019.
- 3. Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

  4. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of
- Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).

  5. Restricted stock units granted to reporting person on 03/08/17. 2,299 shares vesting in three annual increments on 12/15/17, 12/15/18, and 12/15/19; and 1,149 shares fully vesting three years from the date of
- grant. Shares reported are what remain unvested.
- 6. Restricted stock units granted to reporting person on 02/19/18, 931 shares vesting in three annual increments on 12/15/18, 12/15/19 and 12/15/20. and 931 shares vest fully three years from the date of grant. Shares reported are what remain unvested.
- $7. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 02/25/19, 33\% \ of \ the \ award \ is \ vesting \ on \ 12/15/19, 12/15/20, \ and \ 12/15/2021.$
- $8. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 06/01/19, \ 100\% \ of \ the \ award \ is \ vesting \ on \ 6/1/2022.$
- $9. \ Restricted \ stock \ units \ granted \ to \ reporting \ person \ on \ 07/01/19, \ 33\% \ of \ the \ award \ is \ vesting \ on \ 07/01/20, \ 07/01/21, \ and \ 07/01/2022.$

## Remarks:

<u>Susan M. Diamond</u> <u>12/11/2019</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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