FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
|-------------|------------|
|-------------|------------|

| Check this box if no longer subject to Section 16. Form 4 or Form 5 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP |
|--|--|
| obligations may continue. See | |
| Instruction 1(b). | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 |

| OMB APPROVAL | | | | | | | | | | |
|---------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average b | urden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* SMITH BRAD D | | | | | Programme and licker or trading symbol HUMANA INC [HUM] | | | | | | | | (Ch | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | | | |
|--|---|--|---|-----------------------------|---|---|---|-------|------------|----------------------------------|------|--|---------------|---|--|---|--|--------------------------------------|--|---|--|
| (Last) | (Last) (First) (Middle) | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2023 | | | | | | | | | | r (give title | | Other (s | | |
| HUMAN | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| 500 W. N | AAIN STRI | EET | | | | | | | | | | | | | Lin | , | filed by On | e Rep | orting Perso | on | |
| (Street) | TLLE K | Υ . | 40202 | | | | | | | | | | | | | Form Perso | | re tha | n One Repo | orting | |
| | | | | | - Ru | ıle | 10b5 | -1(c | ;) T | rans | acti | ion Ind | dica | tion | | | | | | | |
| (City) | (State) (Zip) | | | | | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | | | |
| | | Tabl | e I - Nor | า-Deriv | ative | Se | curitie | es Ad | cqu | ired, | Disp | osed o | of, o | r Be | neficia | lly Owne | d | | | | |
| Date | | | | 2. Trans Date (Month/ | | ar) l | 2A. Deemed Execution Date, if any (Month/Day/Year) | | е, | 3. Transaction Code (Instr | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | Benefic | es Fo (D) Following ed (I) | | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | Code | v | | | (A) or (D) | Price | Transac (Instr. 3 | | | | | | |
| Humana | Common | | | 09/15 | 5/2023 | | | | | M | | 386 | | A | | 3 | 386 | | D | | |
| | | Т | | | | | | | | | | sed of onverti | | | | y Owned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemo Execution if any (Month/Da | Date, | 4. Transa Code (I 8) | | n of l | | Exp | Date Exe piration onth/Day | Date | Amount Securition Underly | | unt of irities erlying vative | J Security | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4) | e S Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership t (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Dat Exe | te ercisable | | xpiration ate | Title | | Amount or Number of Shares | | | | | | |
| Restricted Stock Units | (1) | 09/15/2023 | | | M | | | 386 | | (2) | | (2) | Hun Com | | 386 | \$0 | 0 | | D | | |
| Restricted Stock Units | (3) | | | | | | | | | (3) | | (3) | Hun Com | | 380 | | 380 | | D | | |
| Restricted Stock Units | (4) | | | | | | | | | (4) | | (4) | Hun Com | | 132 | | 132 | | D | | |

Explanation of Responses:

- 1. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- 2. Initial award of \$190,000 worth of restricted stock units pursuant to the annual Director Compensation Program. 100% of the award will vest on 9/15/2023 and forfeited in its entirety if service is less than 3. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1)&(3) under Company's 2019 Amended & Restated Plan.100% of
- the award is vesting on 12/31/23.
- 4. Director's cash fee elected to be converted into stock units, deferred at the election of the Reporting Person until his resignation of services as a director at which time the stock units will be payable in Humana Inc. common stock on a 1-for-1 basis, exempt pursuant to Rule 16(b)-3(d)(1).

09/19/2023 Brad D. Smith ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.