FORM 4

obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Washington,	D.C.	20549	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5

OMB APPROVAL									
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					or	Sectio	n 30(h	) of the	Ínvest	ment (	Con	npany Act	of 19	40							
Name and Address of Reporting Person*     Garratt John W					2. Issuer Name <b>and</b> Ticker or Trading Symbol HUMANA INC [ HUM ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
								_	-						X Dire	ctor		10% O	wner		
(Last) (First) (Middle) HUMANA INC.						3. Date of Earliest Transaction (Month/Day/Year) 01/04/2021										Offic belo	er (give title w)	!	Other ( below)	specify	
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(Street) LOUISV	TLLE K	Y	40202													For	n filed by M		oorting Pers in One Rep		
(City)	(S	State)	(Zip)													Pers	on				
		Tabl	le I - Nor	n-Deriv	ative	Sec	uriti	es Ac	quire	ed, D	isp	osed (	of, oı	r Ben	eficia	lly Own	ed				
1. Title of Security (Instr. 3) 2. Transc Date (Month/L				ar) E	2A. Deemed Execution Date, if any (Month/Day/Year		Co	Transaction Dispose Code (Instr. 5)				d (A) or r. 3, 4 and	Secur Benef Owne	cially I Following	Forn (D) o	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership				
									Co	de V	,	Amount		(A) or (D)	Price		ted action(s) 3 and 4)			(Instr. 4)	
Humana Common 01/0					1/2021	1			I	A		403	403 A		\$0		403(1)		D		
		Т	able II - I									sed of onverti				/ Owne	l		,		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	Date,	Code (Ins		5. Number of		6. Date Exercisa Expiration Date (Month/Day/Year				7. Title and Amount of Securities Underlying Derivative Securit (Instr. 3 and 4)			8. Price of Derivativ Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e (s s (dily (dily))	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exerci	sable		opiration	Title		Amount or Number of Shares						
Restricted Stock	(2)								(3	)		(3)	Hum		440		440	)	D		

## **Explanation of Responses:**

- 1. Includes 403 restricted stock units pursuant to the annual Director's Fee Program representing a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3) under the Company's 2019 Amended & Restated Plan of which 100% is vesting on 12/31/21.
- 2. Right to receive one share per restricted stock unit pursuant to the Company's 2019 Amended & Restated Stock Incentive Plan. Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- 3. Initial award of \$165,000 worth of restricted stock units pursuant to the annual Director's Fee Program. 100% of the award will vest on 2/19/2021 and forfeited in its entirety if service is less than one year.

## Remarks:

Units<sup>(2)</sup>

John W. Garratt

01/06/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*\* Signature of Reporting Person Date

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.